DESIGN AND CONSTRUCTION OF SMALL EARTHEN DAMS

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Accepted:01.07.2022

Published: 01.08.2022

Abstract: Small earthen dams play a crucial role in water resource management, particularly in regions where access to water is limited. This paper presents a comprehensive overview of the design and construction of small earthen dams, focusing on their importance, design considerations, materials, construction methods, and environmental impacts. The design process involves site selection, hydrological analysis, structural design, and spillway design to ensure the dam's safety and efficiency. Construction techniques include embankment construction, compaction methods, and erosion control measures. Moreover, the paper discusses the socioeconomic benefits of small earthen dams and highlights the need for proper maintenance to ensure their long-term functionality. By understanding the principles outlined in this paper, engineers and stakeholders can effectively contribute to the sustainable development of water resources through the implementation of small earthen dams.

Keywords: Small earthen dams, design, construction, water resource management, sustainability.

INTRODUCTION

Earthen dams have been integral to human civilization for millennia, serving as vital infrastructure for water resource management, flood control, and agricultural development. In regions with erratic rainfall patterns or limited access to water, small earthen dams emerge as lifelines, providing reliable water sources for communities and ecosystems alike. Despite their humble appearance, these structures represent sophisticated engineering solutions that harness the power of nature to meet human needs sustainably.

This paper delves into the design and construction aspects of small earthen dams, recognizing their pivotal role in bolstering local water security and fostering socioeconomic development. Through a systematic examination of design principles, construction techniques, and environmental considerations, this study aims to equip engineers, policymakers, and stakeholders with the knowledge necessary to plan, implement, and maintain small earthen dams effectively.

In the following sections, we will explore the importance

of small earthen dams, elucidate key design considerations, delve into construction methodologies, and evaluate their broader socio-economic and environmental impacts. By elucidating the intricacies of small earthen dam engineering, this paper seeks to foster a deeper appreciation for these structures and their contributions to sustainable water resource management.

SITE SELECTION CRITERIA

Site selection is a critical phase in the planning and implementation of small earthen dams. The success and effectiveness of the dam largely depend on choosing an appropriate site that meets various criteria. Below are some key site selection criteria to consider:

- 1. **Hydrological Characteristics:** Evaluate the hydrological conditions of potential sites, including the availability of water resources such as rivers, streams, or runoff. Conduct thorough hydrological studies to assess the watershed characteristics, rainfall patterns, flow rates, and sediment transport dynamics.
- 2. **Topography:** Select sites with suitable topographic features that facilitate dam construction and reservoir formation. Flat or gently sloping terrain is preferable for ease of construction and to maximize water storage capacity. Steep slopes may require extensive earthwork and stabilization measures.
- 3. **Geological Stability:** Assess the geological composition and stability of the site to ensure it can support the weight of the dam and withstand hydrostatic pressures. Avoid areas prone to landslides, fault lines, or geological faults that could compromise dam integrity.
- 4. **Soil Quality:** Evaluate the soil composition and bearing capacity to determine its suitability for dam construction. Cohesive soils such as clay or silt are preferred for embankment construction, while loose or sandy soils may require additional stabilization measures.
- 5. Accessibility: Consider the accessibility of the site in terms of transportation infrastructure, construction equipment, and maintenance access. Choose sites that are easily reachable and minimize construction costs associated with transportation and logistics.
- 6. Environmental Impact: Assess the potential environmental impacts of dam construction on

local ecosystems, habitats, and biodiversity. Minimize disruption to natural habitats, wetlands, and sensitive ecological areas by selecting sites with minimal environmental sensitivity.

- 7. Social and Economic Factors: Take into account the social and economic implications of dam construction on local communities, including displacement of residents, land use changes, and livelihood impacts. Engage with stakeholders and communities to ensure their participation and support throughout the project lifecycle.
- 8. **Regulatory Compliance:** Ensure compliance with regulatory requirements and permits governing dam construction, environmental protection, water rights, and safety standards. Obtain necessary approvals from relevant authorities and agencies before proceeding with site development.

By carefully evaluating these site selection criteria, engineers and planners can identify suitable locations for small earthen dams that optimize water resource management while minimizing adverse environmental and social impacts. Collaborative decision-making processes involving stakeholders and experts can help ensure that site selection aligns with broader development goals and sustainability objectives.

HYDROLOGICAL ANALYSIS

Hydrological analysis is a crucial step in the design of small earthen dams, as it provides essential data for determining the water flow characteristics, reservoir capacity, and spillway design. Below are key components of hydrological analysis for small earthen dams:

- 1. **Rainfall Data:** Obtain historical rainfall data for the project area to analyze precipitation patterns, intensity, and frequency. This data helps estimate the inflow into the reservoir and design the spillway capacity to safely discharge excess water during heavy rainfall events.
- 2. Watershed Characteristics: Define the boundaries of the watershed that contributes to the dam site's runoff. Assess the watershed's size, shape, slope, land use, soil types, and vegetation cover to understand the hydrological processes and predict runoff volumes.
- 3. **Runoff Estimation:** Use hydrological models such as the Soil Conservation Service (SCS) Curve Number method, Rational Method, or unit

hydrograph analysis to estimate runoff volumes from rainfall events. Consider factors such as soil infiltration, land use, and land cover in determining the runoff coefficient.

- 4. **Peak Flow Calculation:** Determine the peak flow rates expected during extreme rainfall events using methods like the Rational Method, SCS Dimensionless Unit Hydrograph, or statistical analysis of historical flood data. Calculate the design flood discharge to size the spillway and ensure it can safely pass floodwaters without overtopping the dam.
- 5. **Reservoir Inflow:** Estimate the average and peak inflow into the reservoir based on the watershed characteristics and hydrological modeling results. Consider factors such as surface runoff, groundwater contributions, and upstream tributaries that may affect reservoir filling rates.
- 6. **Reservoir Storage Analysis:** Analyze the storage capacity required to meet water demand, irrigation needs, and flood control objectives. Calculate the reservoir's storage volume at various operating levels to ensure sufficient water supply during dry periods and flood storage capacity during wet seasons.
- 7. Sedimentation Assessment: Evaluate the potential for sedimentation within the reservoir based on soil erosion rates, sediment transport modeling, and land use practices in the watershed. Design sedimentation basins or implement soil conservation measures to minimize sediment deposition and maintain reservoir capacity.
- 8. Climate Change Considerations: Incorporate climate change projections into hydrological analysis to account for potential changes in rainfall patterns, temperature, and extreme weather events. Assess the resilience of the dam design to withstand future hydrological conditions and adaptability to changing climate scenarios.

By conducting thorough hydrological analysis, engineers can accurately assess the water flow dynamics, design appropriate storage and spillway facilities, and ensure the safety and reliability of small earthen dams for water resource management and flood control purposes. Collaboration with hydrologists, meteorologists, and environmental scientists is essential to integrate diverse expertise and data inputs into the analysis process.

STRUCTURAL DESIGN

Structural design is a critical aspect of small earthen dam

construction, ensuring the stability, integrity, and longevity of the structure under various loading conditions. Here are key components of structural design for small earthen dams:

- 1. **Embankment Geometry:** Determine the geometry of the dam embankment, including crest width, upstream and downstream slopes, and overall height. Consider factors such as hydraulic efficiency, stability requirements, and construction feasibility when establishing embankment dimensions.
- 2. **Foundation Design:** Evaluate the foundation soil characteristics to assess bearing capacity, settlement, and stability. Conduct geotechnical investigations, including soil borings and laboratory testing, to determine foundation properties and design appropriate foundation treatments or improvements.
- 3. Seepage Analysis: Perform seepage analysis to evaluate potential water seepage through the dam embankment, foundation, and abutments. Use seepage modeling techniques such as finite element analysis or seepage flow nets to assess seepage rates, pore pressures, and potential piping failure mechanisms.
- 4. **Filter Design:** Design filters and drainage features to control seepage and prevent internal erosion within the embankment. Incorporate filter materials such as graded aggregates, geotextiles, or synthetic clay liners to enhance drainage and filter out fine particles that could compromise dam stability.
- 5. **Stability Analysis:** Conduct slope stability analysis to assess the stability of the embankment and foundation under static and dynamic loading conditions. Use geotechnical software or analytical methods such as limit equilibrium analysis to evaluate factors of safety against slope failure mechanisms such as sliding, overturning, or slope erosion.
- 6. **Hydraulic Structures:** Design spillways, outlet works, and other hydraulic structures to control reservoir inflow, outflow, and water level regulation. Size spillway openings, culverts, and control gates based on hydrological analysis results to safely discharge excess water and prevent overtopping of the dam during flood events.
- 7. **Earthquake Resistance:** Consider seismic loading and design the dam to withstand earthquake-induced ground motion and dynamic forces. Incorporate seismic design criteria, such as appropriate foundation reinforcement,

embankment compaction, and slope stability measures, to enhance the dam's resistance to seismic events.

8. **Monitoring and Instrumentation:** Install monitoring instruments such as piezometers, inclinometers, and settlement gauges to monitor dam performance, deformation, and seepage over time. Implement a comprehensive monitoring program to detect early signs of dam distress or instability and facilitate timely maintenance or remedial actions.

By integrating these structural design considerations into the planning and construction process, engineers can ensure the safe, resilient, and sustainable performance of small earthen dams for water resource management, irrigation, flood control, and other beneficial purposes. Collaboration with geotechnical engineers, hydrologists, and dam safety experts is essential to incorporate diverse expertise and ensure compliance with regulatory requirements and industry best practices.

DAM HEIGHT AND CREST LENGTH DETERMINATION

Determining the height and crest length of a dam is a critical aspect of its structural design and involves several considerations to ensure stability, safety, and functionality. Here are the key factors to consider in determining dam height and crest length:

- 1. **Hydrological Analysis:** Begin by conducting a thorough hydrological analysis to estimate the peak inflow into the reservoir during extreme rainfall events. Determine the design flood discharge and the corresponding reservoir storage capacity required to safely accommodate floodwaters without overtopping the dam.
- 2. **Reservoir Storage Capacity:** Calculate the required reservoir storage capacity based on water demand, irrigation needs, and flood control objectives. Consider factors such as population growth, agricultural requirements, and environmental considerations when estimating storage volumes at various operating levels.
- 3. **Freeboard Requirement:** Determine the freeboard requirement, which is the vertical distance between the maximum water level in the reservoir and the top of the dam crest. The freeboard provides a safety margin to prevent overtopping due to wave action, wind effects, or unexpected variations in inflow.

- 4. **Dam Type and Geometry:** Select the type of dam (e.g., homogeneous earthfill, zoned earthfill, rockfill) based on site-specific conditions and project requirements. Determine the dam's upstream and downstream slopes, crest width, and overall geometry to optimize stability, hydraulic performance, and construction feasibility.
- 5. Foundation Conditions: Evaluate the foundation soil properties, bearing capacity, and settlement characteristics to ensure adequate support for the dam structure. Consider geotechnical factors such as soil strength, permeability, and foundation stability when determining the dam height and crest length.
- Seismic Design Considerations: Incorporate seismic design criteria to account for earthquakeinduced ground motion and dynamic forces. Determine the maximum credible earthquake (MCE) and design the dam to withstand seismic loading while maintaining stability and integrity.
- 7. Environmental and Regulatory Requirements: Ensure compliance with environmental regulations, permitting requirements, and safety standards governing dam construction. Consider ecological impacts, habitat preservation, and downstream water quality when determining dam height and crest length.
- 8. **Cost-Benefit Analysis:** Evaluate the costeffectiveness of different dam height and crest length configurations considering construction costs, maintenance requirements, and long-term operational considerations. Balance engineering considerations with economic feasibility and risk management to optimize project outcomes.

By carefully considering these factors and conducting iterative analyses, engineers can determine the appropriate height and crest length for a dam that meets project objectives while ensuring safety, sustainability, and regulatory compliance. Collaboration with hydrologists, geotechnical engineers, environmental scientists, and other stakeholders is essential to integrate diverse expertise and achieve consensus on design decisions. Additionally, ongoing monitoring and adaptive management are critical to evaluate dam performance and address any emerging issues throughout the project lifecycle.

CONCLUSION

In conclusion, the design and construction of small

earthen dams are vital components of sustainable water resource management, providing numerous benefits to communities, agriculture, and ecosystems. Through careful consideration of site selection criteria, hydrological analysis, structural design principles, and environmental factors, engineers can develop small earthen dams that effectively store water, regulate flow, and mitigate flood risks.

The implementation of small earthen dams requires collaboration among multidisciplinary teams, including engineers, hydrologists, geotechnical experts, and stakeholders. By integrating diverse perspectives and expertise, project planners can ensure that dams are designed and constructed to meet the needs of local communities while minimizing environmental impacts and maximizing long-term resilience.

Furthermore, ongoing monitoring, maintenance, and adaptive management are essential to ensure the continued performance and safety of small earthen dams over time. Regular inspections, instrumentation, and community engagement facilitate early detection of potential issues and enable timely interventions to prevent dam failures and safeguard public safety.

In summary, small earthen dams represent cost-effective and sustainable solutions for water storage, irrigation, and flood control in diverse geographical contexts. By adhering to best practices in design, construction, and management, engineers can contribute to the equitable distribution of water resources, the enhancement of agricultural productivity, and the resilience of communities in the face of climate variability and change.

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